

HVOF sprayed WC-10Co4Cr coatings on AISI 4135 steel substrate: tensile and fatigue properties

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Dear Editors and reviewers,

Thank you very much for your careful review and constructive suggestions with regard to our manuscript "HVOF sprayed WC-10Co4Cr coatings on AISI 4135 steel substrate: tensile and fatigue properties". Those comments are all valuable and very helpful for us to improve the quality of the present manuscript, as well as the important guiding significance to our researches. We have carefully taken every comment from editors and reviewers into consideration when preparing our revision. The main corrections and the responds to the comments are summarized point-by-point as follows. Each of the revised portions was highlighted in yellow. We would like to express our great appreciation to editors for comments on our paper. Please feel free to contact us with any questions and we are looking forward to your consideration.

Yours sincerely,
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Detailed responds to reviewers' comments:

We appreciate reviewers for the careful read and thoughtful comments. Additionally, thanks for your evaluation and recommendation for our work. We tried our best to revise and improve the quality of the manuscript according to your meaningful comments. Our point-by-point responses to the reviewer's comments are as follows.

Reviewer 1:

Please improve the abstract to match the improvement in the manuscript.

NOTE: In response to this reviewer comment, the Associate Editor recommends that you modify the last two sentences of the abstract to read: "This study showed that the WC-10Co4Cr coating degraded the tensile properties of the coating system, and with thicker coatings, this trend was more obvious. In addition, the fatigue life under different loads of the coating system was declined by spraying WC-10Co4Cr coating." **Response:** We thank the reviewer for the good advice. The abstract has been improved as follows: In most studies of WC based cermet coatings, attention has been given to the erosion, corrosion and wear resistance of the coatings. However, there have been a few studies highlighting the effect of spray WC based cermet coating on the mechanical properties of the coating system. In this paper, AISI 4135 steel was used as the substrate to prepare WC-10Co4Cr coating by High Velocity Oxygen Fuel (HVOF) technology, and the tensile and fatigue properties of the HVOF sprayed WC-10Co4Cr coating system were studied. This study showed that the WC-10Co4Cr coating degraded the tensile properties of the coating system, and with thicker coatings, this trend was more obvious. In addition, the fatigue life under different loads of the coating system was declined by spraying WC-10Co4Cr coating.

Reviewer 2:

(i) Figs. 1 and 2 do not show the units for the dimensions of the test specimens.

Response: We are sorry for the unclear description. The dimensions of tensile and fatigue test specimens are in millimeters (mm), and has been marked in the Fig. 1(a) and (b).

(ii) Merge Figs. 1 and 2 as (a) and (b); make the corresponding changes in the text.

Response: We thank the reviewer for the good advice. The Figs. 1 and Fig. 2 have been merged as Fig. 1(a) and (b), and the corresponding text has been changed in the revision.

(iii) In Fig. 3, show a better quality image that shows both WC particles as well as the microstructure of the Co-Cr binder. It is incorrect to treat the Co-Cr matrix as of secondary importance. This is mandatory.

Response: We are sorry for the incorrect description. We have redescribed it in the revised as follows: Fig. 2(b) shows the cross-sectional of the WC-10Co4Cr coatings, the WC-10Co4Cr coatings bind tightly to AISI 4135 steel substrate. Besides, the coatings have dense microstructure, which consist of block WC phase and CoCr bonding phase. In WC-CoCr cermet system, the WC phase has excellent hardness, and the CoCr phase is a good binder, both of which are indispensable.

(iv) Embed equation (1) within the running text; no need to prominently place it on a separate line and no need to number it.

Response: We thank the reviewer for the good advice. This equation has been embeded within the text directly and cancel the number of it.

Highlights:

- 1. The existence of WC-10Co4Cr coating results in a decrease of the tensile property of the coating system.
- 2. The fatigue life of the coating system is declined by spraying WC-10Co4Cr coating under different loads.



HVOF sprayed WC-10Co4Cr coatings on AISI 4135 steel substrate: tensile and fatigue properties

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Abstract:

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In most studies of WC based cermet coatings, attention has been given to the erosion, corrosion and wear resistance of the coatings. However, there have been a few studies highlighting the effect of spray WC based cermet coating on the mechanical properties of the coating system. In this paper, AISI 4135 steel was used as the substrate to prepare WC-10Co4Cr coating by High Velocity Oxygen Fuel (HVOF) technology, and the tensile and fatigue properties of the HVOF sprayed WC-10Co4Cr coating system were studied. This study showed that the WC-10Co4Cr coating degraded the tensile properties of the coating system, and with thicker coatings, this trend was more obvious. In addition, the fatigue life under different loads of the coating system was declined by spraying WC-10Co4Cr coating.

Key words: HVOF; WC-10Co4Cr coating; coating system; tensile; fatigue.

1. Introduction

The AISI 4135 steel as a high strength, low-alloy steel, which is widely used in the manufacture of important structural parts in various equipment that bear impact, bending, torsion, and high load (Ref 1-3). The WC-10Co4Cr cermet protective coatings with excellent erosion, corrosion and wear resistance prepared by HVOF technology are widely used in aerospace, metallurgy, ship, drilling and other fields (Ref 4-6).

In the actual service state, the structural parts sprayed by the WC-10Co4Cr coating are not only subjected to erosion, corrosion and wear (Ref 4, 7, 8), but also subjected to alternating loads, tension and compression, etc., which are likely to cause the parts to fail, thereby seriously reducing their service life. Therefore, while concerning and solving the erosion, corrosion and wear resistance of the coating, it is necessary to research the influence of applied load on the tensile/fatigue properties of HVOF sprayed WC-10Co4Cr coating on AISI 4135 steel.

A Koutsomichalis et al. (Ref 9) studied the tensile properties of the HVOF sprayed WC-CoCr coatings on aluminum. The WC-CoCr coating decreased the tensile strength of the substrate. Besides, the transverse cracks were found to initiate on the surface of coating, increasing with the increase in tensile strain and stopped at the coating-substrate interface.

Different sandblasting processes have different effects on the fatigue life of samples. Costa et al. (Ref 10) evaluated the influence of WC-10Co-4Cr coating deposited by HVOF on the fatigue strength of Ti–6Al–4V alloy. It was observed that the WC-10Co4Cr coating sprayed by HVOF technology reduced the fatigue strength of Ti–6Al–4V alloy, and the influence was more significant in high cycle fatigue tests. However, the shot peening pre-treatment enhanced the fatigue life of coated Ti–6Al–4V alloy by delaying crack nucleation and propagation. However, according to the theoretical analysis of thermal spraying, sandblasting pretreatment of the substrate can

effectively improve the bonding strength of the coating and the substrate. Some studies showed that sandblasting pretreatment could cause surface defects and stress concentration on the substrate, which was prone to fatigue cracks. However, the residual compressive stress inside the HVOF sprayed WC-CoCr coatings and the dense internal structure of the coatings would offset this negative effect. Therefore, the fatigue resistance of the samples prepared with WC-CoCr coatings on the surface did not deteriorate due to the sandblasting pretreatment (Ref 11, 12).

H.J.C. Voorwald et al. (Ref 13) compared the WC-17Co and WC-10Co-4Cr coatings applied by HVOF process and hard chromium electroplating on the fatigue strength of AISI 4340 steel. The results showed that compared with hard chromium electroplated, the tungsten carbide thermal spray coating had higher fatigue strength. J. R. Garcia et al. (Ref 14) found that the resistance to rotating bending fatigue in the HVOF coated test samples was stronger than in the uncoated material. Due to a larger elasticity module, the WC coating bore more stress than the steel under the same deformation. However, once the fatigue cracks initiated in the coatings, the growth rate was faster, and it was more likely to cause fracture damage. Villalobos-Gutiérrez et al. (Ref 15) investigated the fatigue behavior of AA6063-T6 aluminum alloy coated with WC-10Co-4Cr alloy deposited by HVOF thermal spraying. Compared with uncoated substrate, the fatigue life of coated aluminum alloy was significantly improved when tesing in air and 3 wt. % NaCl solution. The fatigue cracks nucleated at the surface of coating first and then propagated towards the substrate. J. G. L Barbera Sosa et al. (Ref 16) used HVOF spraying technology to prepare a composite coating of 50% WC-CoCr and 50% NiWCrSiFeB. The results showed that the stress concentration occurred at the interface with the substrate, which would induce the initiation and propagation of fatigue cracks, thereby reducing the fatigue life of the coated samples.

Finite element software has been widely used in material simulation, which reduces the amount of experimentation effectively (Ref 17). Finite element meshes were constructed on SEM micrographs of HVOF sprayed WC–CoCr, and the microscale elastic modulus value obtained from the uniaxial tensile test simulated on high-

magnification micrographs is consistent with the experimental result. The results showed that under actual applied loads, failure will first occur in stress concentration area in WC particles where oxide inclusions are more abundant (Ref 18). U. A. Ozden et al. (Ref 19) used Abaqus to simulate the fatigue fracture of WC-Co. The WC and Co phases had different failure mechanism due to different elastic modulus. Besides, they also simulated a more accurate geometric structure based on the microstructure of the material, and the simulation results were similar to the test results, and had good consistency with the actual fracture path (Ref 20).

The fatigue life of the thermal sprayed component can be affected by the residual stresses from coating deposition, which includes torch processing parameters and deposition effects. Andrew Vackel et al. (Ref 21) investigated influence of process and deposition parameters on the fatigue behavior of thermal spraying WC-CoCr-steel system. The results indicate that compressive residual stress of coating delayed the damage of the substrate, enabling fatigue strength of the coated component. The size and population of porosities in the coating had effects on fatigue strength. Because the fatigue crack initiated at the porosities, therefore the larger and more porosities, the lower fatigue strength (Ref 22). Chandra, N. P. S. et al. (Ref 23) studied the effect of coating thickness on fatigue strength. When the coating was excessively thick, higher tensile residual stress was occurred near the coating and substrate interface. Nevertheless, when the coating was too thin, the deformation of substrate crystal would aggravate the fracture of coating.

There are few studies on the tensile and fatigue properties of the coating system (composed of HVOF sprayed WC-10Co4Cr coatings on AISI 4135 steel substrate), the main purpose of this research is to analyze the effect of spraying WC-10Co4Cr coating on the tensile and fatigue properties of the coating system. Universal testing machine was used for the tensile test of the coating system, and high-frequency fatigue testing machine was used for the fatigue test. The residual stress was tested by X-ray diffraction (XRD). The elastic modulus of the coating and substrate was measured by Nano Indenter. The fracture morphologies of the coating system were observed by Scanning

electron microscope (SEM). Details on the tensile and fatigue properties of the coating system were discussed in later sections.

2 Experimental procedure

2.1 Coating preparation

Commercial WC-10Co4Cr bimodal composite powder (produced by BGRIMM Technology Group) was selected as the raw material, and the chemical composition of the powder is WC-86 wt %, Co-10 wt %, and Cr-4 wt. %. The particle size of the powder is 15-40 μm. The mass ratio of micron WC particles and nanosized WC particles in the powder is 7:3. The size of micron WC particles is 0.7~1.3 μm, and the size of nanoscale WC particles is 70~200 nm. AISI 4135 steel was selected as the substrate, and in order to ensure the good mechanical properties of the substrate, the substrate was quenched at 850 °C and tempered at 550 °C. The chemical composition of AISI 4135 steel is as follows: C: 0.18–0.40, Cr: 0.80–1.10, Mo: 0.15-0.25, Mn: 0.40–0.70, Si: 0.17–0.37, and balance Fe.

The GTV MF-P-HVF-K 2000 HVOF system equipped with GTV HVOF K2 spray gun was used for spraying coating. With aviation kerosene as fuel, oxygen as combustion gas and nitrogen as powder carrier, the HVOF spraying process parameters were shown in Table 1. Before spraying, AISI 4135 steel substrate was cleaned in ultrasonic equipment by acetone for 30 minutes to remove oil and clean. In order to increase the compressive stress and ensure the better combination of the coating and the substrate, sand blasting was roughened. The pressure of compressed air using for the sand blasting was 0.5 MPa. The sand blasting material was corundum with a size of 0.3mm, and the distance and angle of sand blasting were 300mm and 70°, respectively.

2.2 Tensile and fatigue tests

The Instron 5985 electronic universal testing machine was used to conduct tensile test of the coating system, and the influence of different thickness of the coating on the tensile properties of the coating system was discussed. The tensile test temperature was room temperature (25°C), and the tensile rate was 1.8 mm/min. The tensile test specimens are shown in Fig. 1(a). In order to prevent stress concentration, a certain

radian was set, and the sample was held for rotary spraying. The diameters of the substrate parts are 5mm, and the coating can be divided into two types: $140 \, \mu m$ and $280 \, \mu m$.

The coating system was subjected to fatigue test by QBG-100 high-frequency fatigue tester. The diameter of the substrate part was 6 mm, and the coating system were all polished to reduce the roughness to Ra<10 µm, and the thickness of the coating after polishing was about 100 µm. The fatigue test specimens are shown in Fig. 1(b). The coating was subjected to axial loading at room temperature with stress ratio R=0.1. Loading frequency was 100 Hz, and the specified conditional fatigue life was 106 circles. According to the axial loading fatigue test standard of HB5287-96, the conditional fatigue limit of the coating system was tested by the four-stage lifting method, and the fatigue life of the coating system under overload was measured by the group method. The conditional fatigue limit of the material is determined by lifting method. The stress increment is generally within 5% of the predicted fatigue limit, and the test can be carried out at grade 3~5 stress levels. In this paper, four-stage stress level was used, which was called the four-stage lifting method. The fatigue life under overloads was measured by group method. The quantity distribution of the coating system at all stress levels should increase gradually with the decrease of stress levels. The conditional fatigue limit obtained by lifting method was regarded as the lowest stress level point on S-N curve.

The morphologies of the coating system were examined by ZEISS MERLIN Compact scanning electron microscope (SEM), including the WC-10Co4Cr powders, cross-section, the fracture morphologies of tensile and fatigue specimens. Agilent Nano Indenter G200 was used to measure the elastic modulus of the coating, the load was 300g, the duration was 20 seconds, and the average elastic modulus of the five tests was taken. In order to test the residual stress change of the coating system, Philips MRD 3710 X-ray diffraction was used to measure the residual stress of the coating system.

- 3. Results and discussion
- 3.1 Morphologies of powders and coatings

Fig. 2 shows the morphologies of the WC-10Co4Cr powders and coatings. The upper right corner of the figure is the enlarged figure. As can be seen in Fig. 2(a), the spray powders exhibit spherical or ellipsoidal shape, and the powder surface is loose and porous. Fig. 2(b) shows the cross-sectional of the WC-10Co4Cr coatings, the WC-10Co4Cr coatings bind tightly to AISI 4135 steel substrate. Besides, the coatings have dense microstructure, which consist of block WC phase and CoCr bonding phase. In WC-CoCr cermet system, the WC phase has excellent hardness, and the CoCr phase is a good binder, both of which are indispensable (Ref 8).

3.2 Tensile tests

Fig. 3 shows the tensile stress-strain curves of the substrate and the coating system with different thickness at room temperature, and Table 2 shows the corresponding tensile test data. It can be seen that the yield strength, tensile strength and elongation after fracture of the coating system are lower than AISI 4135 substrate (952 MPa). The yield strength, tensile strength and elongation after fracture of the coating system (140 μm) are decreased by 7.8%, 19.7%; 68.0%, respectively. And the yield strength, tensile strength and elongation after fracture of the coating system (280 μm) decrease by 36.6%, 40.5%; 26.0%, respectively. Because AISI 4135 steel substrate is a plastic material, while the WC-10Co4Cr coating is a brittle material, that plastic materials generally have greater fracture strain than brittle materials, so the tensile fracture failure of the coating occurs before the substrate, leading to a decrease in the tensile strength of the coating system, and the thicker the coating, the greater the proportion of the coating area in the coating system, the less the area can bear the load when it fails, and the more obvious the tensile strength of the coating system declines (Ref 9, 24, 25).

The coating can be treated as the composite material parallel to the substrate, and both the coating and the substrate are the components that determine the plasticity and brittleness. Since the strain applied in the axial direction is the same, it is assumed that the strain condition of the substrate and the coating is equal strain. According to the mixing law of composite materials, the calculation formula of elastic modulus of coating system is as follows: $E_{cs} = E_{c} A_c + E_{s} A_s$, where E_{cs} , E_{c} are the

elastic modulus of the coating system, the substrate and coating, respectively, and A_s , A_c are the area of the substrate and the coating. According to the nanoindentation experimental data, the average elastic modulus of AISI 4135 steel substrate is 193±8 GPa, the average elastic modulus of the coating is 318±15 GPa. The elastic modulus of the coating system (140 μ m) is 242±10 GPa derived from the stress-strain curve. The elastic modulus of the coating system is 234 GPa (140 μ m), which is estimated according to the formula. The tensile test value is in good agreement with the theoretical calculated value.

It can be seen from Fig. 4 that the substrate and the coating system with different thickness all have obvious plastic deformation. In the process of tensile, strain hardening cannot keep pace with the development of plastic deformation, so deformation and necking occur at a stress concentration point in the tensile area. In the initial stage of the tensile WC-10Co4Cr coating can keep consistent with substrate deformation, however, due to the stiffness of the coating is far greater than the substrate, the coating will exfoliate when the stress value exceeds the allowable limit of the coating during the tensile test (Ref 26), and the thicker the coating is, the more thoroughly it exfoliates.

Fig. 5 shows the fracture morphologies of the substrate and the coating system with different thickness. As can be seen from Fig. 5(a), AISI 4135 steel substrate presents the morphology of ductile fracture, and the fracture area can be divided into typical fiber zone, radical zone and shear lip. The fracture features in the fiber zone are dimples, and the microscopic fracture features in the radical zone are cleavage steps (Fig. 5(d) and (e)). From Fig. 5(b) and (c), as for the coating system, the coating is brittle material and the substrate is plastic material. The thicker the coating is, the smaller the fiber zone, and the worse the plasticity of the coating system (Ref 27, 28). When the concentrated stress of the coating system exceeds the allowable limit of the coating in the tensile test, the coating will crack. With the increase of the tensile stress, the coating system will fracture eventually.

Fig. 6 shows the micro-morphologies of the unfractured tensile specimens. Fig.

6(a) and (b) show the side-section of coating systems with different thickness. The brittle fracture of the coating occurs under the action of tensile load. Multiple cracks appear on the surface of the coating perpendicular to the tensile direction and parallel to each other. This is because the addition of brittle coating changes the stress distribution of AISI 4135 steel substrate in the tensile direction. The interaction between WC hard phases in the coating results in stress concentration, so WC particles becomes the crack initiation point in the coating. Under the condition of tensile stress, the brittle cracking of the coating leads to the initiation of surface cracks. As can be seen from Fig. 6(c) and (d), when the crack density reaches saturation, the continuous increase of tensile stress will lead to the internal diffusion of cracks along the CoCr bonding phase, and the penetration cracks of the coating will occur, thus leading to the failure of the coating system. The ability of the coating to bear the load after cracking is greatly reduced so that it can be ignored, which also leads to the decline of the yield strength of the coating system, which is consistent with the phenomenon described in Fig. 4. In addition, it can be seen from Fig. 6(e) that the coating is exfoliated from the substrate. 3.3 Fatigue tests

Fig. 7 shows the conditional fatigue limit curve of the substrate and the coating system. After calculation, the conditional fatigue limit $\sigma_{R(10^6)}$ of the substrate is 712.5MPa, and that of the coating system is 682.5MPa, which is lower than that of the uncoated sample. This is because the coating is also subjected to tensile load in the fatigue test, while the WC-10Co4Cr coating is brittle material and will fail rapidly under tensile stress. Considering that the coating cracks under tensile load, its loadbearing capacity drops significantly (it probably becomes negligible) as soon as the cracks are formed. Besides, the effect of sprayed coating on the residual stress of the coating system is complex. On the one hand, the residual compressive stress on the surface of AISI 4135 steel after sandblasting before HVOF process decreases the notch stress concentration factor and fatigue notch sensitivity, thus the fatigue life of the coating system is improved (Ref 29). But it should be noted that sandblasting process must be controlled, otherwise, sandblasting particles may cause damage to the substrate

surface, thereby reducing fatigue life of the substrate (Ref 11). On the other hand, spraying coating will produce impact effect and thermal stress on the coating system in the spraying process. The impact effect leads to compressive stress on the coating system, and the thermal stress is tensile stress. The final stress state depends on the comprehensive action of compressive stress and tensile stress. The average residual stress of the coating system is -152±10 MPa, showing compressive stress state, which can restrain the crack growth and is beneficial to the fatigue life (Ref 13, 30).

Fig. 8(a) shows the S-N logarithmic fatigue life comparison of the substrate and the coating system under overload conditions. According to the conditional fatigue limit obtained by the four-stage lifting method, the fatigue overloads are 700, 735, 770, 820 and 870 MPa, respectively. It can be seen that the fatigue life of the coating system is significantly lower than that of the substrate. This is also caused by the brittleness of the coating, which is difficult to bear the cyclic alternating stress, resulting in the decline of the fatigue life of the coating system.

Fig. 9 shows the typical fatigue fracture of coating system with different cycles. It can be seen from Fig. 9(a)) that the crack source of the coating system is mainly generated at the interface between the coating and the substrate, and then expands along the interface or deviates from the interface towards the coating (Ref 23, 31). The coating and substrate separation occurs, resulting in coating failure, as shown in Fig. 9(b) and (d). Crack sources also form cleavage steps along the substrate after initiation at the interface, as shown in Fig. 9(c). Such cracks may reduce the fatigue life of AISI 4135 steel substrate.

4. Conclusion

In this paper, the universal testing machine was used to carry out tensile test on the substrate and the coating system, and the fatigue testing machine was used to carry out fatigue test on the coating system. The failure form of the samples was judged by observing the fracture morphologies, and then the tensile and fatigue properties of the coating system were explored, and the following main conclusions were drawn.

(1) The existence of WC-10Co4Cr coating results in a decrease of the yield

strength, tensile strength and elongation after fracture of the coating system, and this phenomenon is more obvious with the thickness of the coating. The decrease of the tensile property of the coating system is related to the brittleness of the coating. Compared with the coating of different thickness, the 280 μ m coating is more serious than the 140 μ m coating.

(2) The fatigue crack source of the coating system mainly occurs at the interface between the coating and the substrate, and then expands along the interface or deviates from the interface to the coating. Crack sources also form cleavage steps along the substrate after initiation at the interface. Such cracks may reduce the fatigue life of AISI 4135 steel substrate. The fatigue life of the coating system is declined by spraying WC-10Co4Cr coating under different loads.

Acknowledgements

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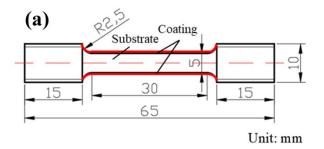
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70/2

List of Figures

- Fig. 1 Dimensions of test specimens. (a) Tensile test; (b) fatigue test.
- **Fig. 2** Morphologies of the WC-10Co4Cr powders and coatings. (a) The powders; (b) The coatings.
- **Fig. 3** Tensile stress-strain curves of the substrate and the coating system with different thickness.
- **Fig. 4** Macro morphologies of the substrate and the coating system with different thickness after the tensile test. (a) Substrate; (b) Coating system (140 μ m); (c) Coating system (280 μ m).
- **Fig. 5** Micro-morphologies of the fractured tensile specimens. (a) Substrate; (b) Coating system (140 μm); (c) Coating system (280 μm); (d) Dimples; (e) Cleavage steps.
- **Fig. 6** Micro-morphologies of the unfractured tensile specimens. (a) Side-section of coating system (140 μ m); (b) Side-section of coating system (280 μ m); (c) Cross-section of coating system (140 μ m); (d) Cross-section of coating system (280 μ m); (e) Crack propagation path.
- **Fig.** 7 Conditional fatigue limit $\sigma_{0.1}$ for four-level stress level test method of the substrate and the coating system.
- **Fig. 8** Simulated S-N curves of the substrate and the coating system with maximum likelihood method.
- **Fig. 9** Fatigue fracture morphologies of the coating system. (a) Crack source; (b, c) Coating failure; (d) Cleavage.



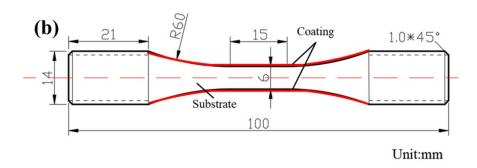


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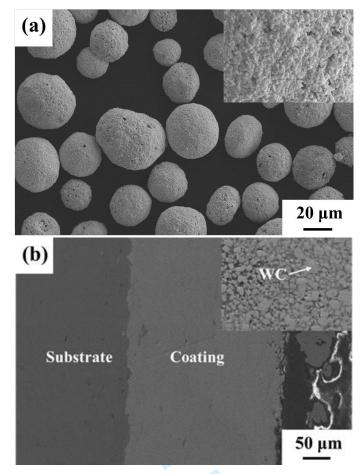


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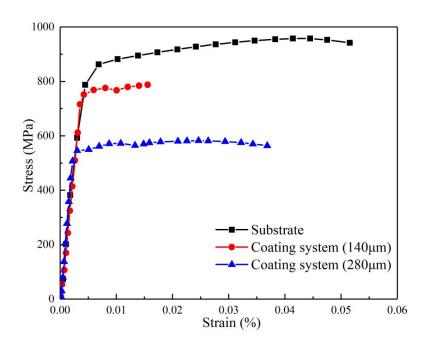


Fig. 3 Tensile stress-strain curves of the substrate and the coating system with different thickness.

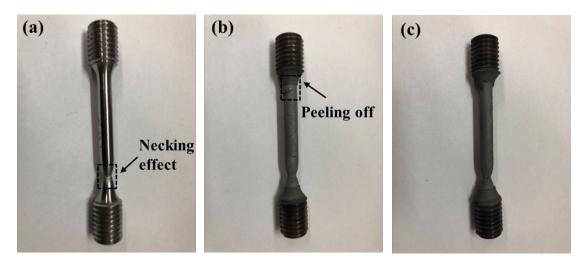


Fig. 4 Macro morphologies of the substrate and the coating system with different thickness after the tensile test. (a) Substrate; (b) Coating system (140 μm); (c) Coating system (280 μm).

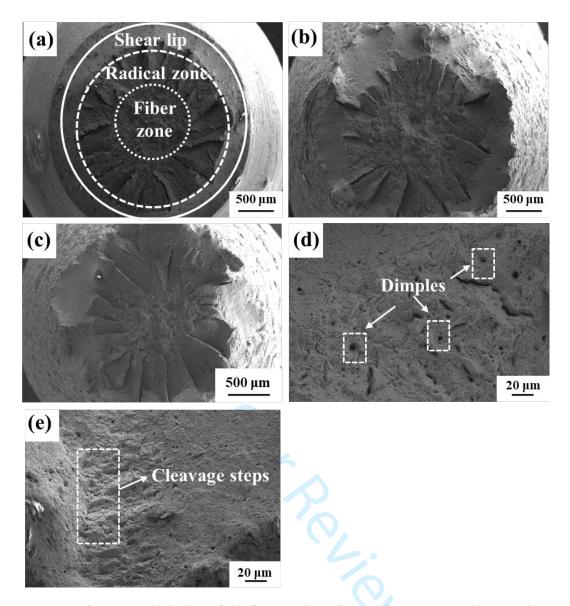


Fig. 5 Micro-morphologies of the fractured tensile specimens. (a) Substrate; (b) Coating system (140 μm); (c) Coating system (280 μm); (d) Dimples; (e) Cleavage steps.

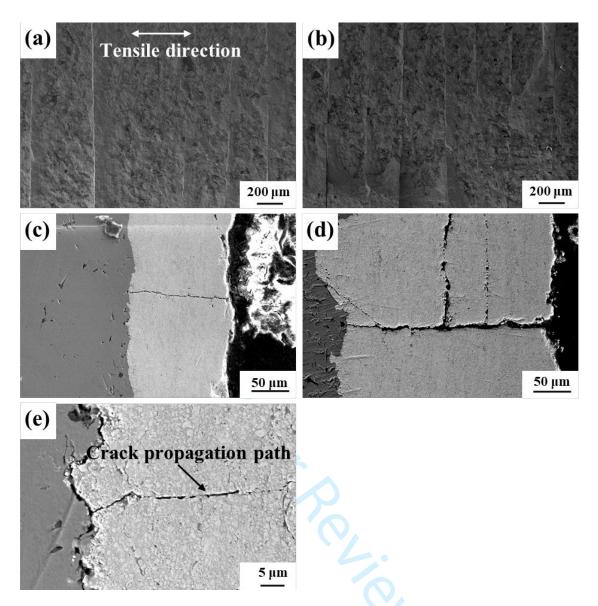


Fig. 6 Micro-morphologies of the unfractured tensile specimens. (a) Side-section of coating system (140 μm); (b) Side-section of coating system (280 μm); (c) Cross-section of coating system (140 μm); (d) Cross-section of coating system (280 μm); (e) Crack propagation path.

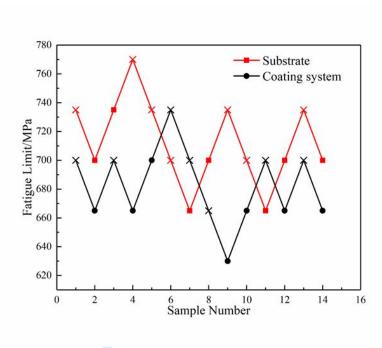


Fig. 7 Conditional fatigue limit $\sigma_{0.1}$ for four-level stress level test method of the substrate and the coating system.

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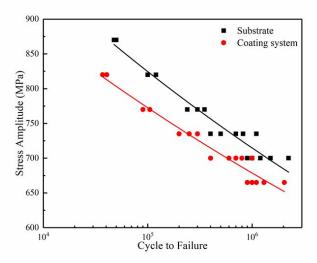


Fig. 8 Simulated S-N curves of the substrate and the coating system with maximum likelihood method.

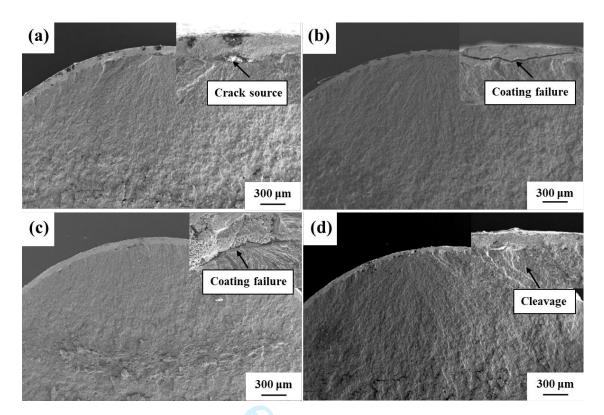


Fig. 9 Fatigue fracture morphologies of the coating system. (a) Crack source; (b, c)

Coating failure; (d) Cleavage.

List of tables captions

Table 1 Process parameters of HVOF spraying WC-10Co4Cr coating.

Table 2 Tensile properties of the substrate and the coating system with different thickness.



Table 1 Process parameters of HVOF spraying WC-10Co4Cr coating.

Process paramet ers	Powder feed rate	Spray distance	Oxygen flow rate	Kerosene flow rate	Nitrogen flow rate
Value	100g·min ⁻¹	420 mm	902 L·min ⁻¹	26 L·min⁻¹	9 L·min ⁻¹



Table 2 Tensile properties of the substrate and the coating system with different thickness.

Samples	Yield strength	Tensile strength	Elongation
	(MPa)	(MPa)	(mm/mm)
4135 steel	797±37	952±50	0.050 ± 0.002
Coating system (140	735 ± 34	764 ± 43	0.016 ± 0.001
μm)			
Coating system (280	505 ± 28	566 ± 27	0.037 ± 0.002
μm)			

Declaration of Interest Statement:

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Jon't hesitate to conu.

Is Sincerely,

jie Kang

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E-mail: kangjiajie@cugb.edu.cn and we look forward to receiving comments from the reviewers. If you have any queries,